

## Financial Services

Securities and investment-related organizations of all types — from the largest banking and wealth management firms, to mid-sized market-makers and institutional trading firms, to fintech start-ups and local credit unions — all deal with the highly complex task of complying with financial regulations while managing their business in an increasingly competitive environment.

Foster Garvey attorneys are well-qualified to provide the transactional, regulatory and litigation support and counseling that today's financial services firms require. Our clients have included major brokerage houses, FINRA, NYSE and AMEX member firms, institutional and electronic trading firms, specialty and niche market brokerages, and investment advisory and asset management firms. Our attorneys also have extensive experience representing a variety of non-bank lenders, including pension plans, life insurance companies, mortgage companies and conduits.

The firm's additional experience in [Creditors' Rights & Bankruptcy](#), [Business & Corporate Finance](#), [Real Estate](#), [Land Use & Environmental](#), and [Litigation](#) position us well to assist in a wide range of legal services directly related to financial institutions.

Some of the key services we provide to financial institutions include:

- Capital formation/securities
- Corporate governance
- Debt restructuring and workouts
- Director representation and defense advocacy
- Employment and labor relations
- Executive compensation and employee benefit plans
- FDIC-assisted transactions

### Service Contacts

Sy H. Bucholz  
T 212.965.4531  
seymour.bucholz@foster.com

Michael J. Gamsky  
T 206.447.8917  
michael.gamsky@foster.com

### Related Professionals

Jason M. Ayres  
Christopher Beaver  
Sy H. Bucholz  
Christopher G. Emch  
Tim J. Filer  
Gary E. Fluhrer  
J. Scott Galloway  
Michael J. Gamsky  
Eryn Karpinski Hoerster  
Matthew B. Maynard  
Rob Mecklenborg  
Scott B. Osborne  
Steven R. Peltin  
Todd Reuter  
Tara J. Schleicher  
Jacob A. Scholl  
Robert B. Spitzer  
David R. West  
Joseph W. West  
Rylan Weythman  
Jack Zahner

### Related Services

Commercial & Real Estate  
Finance

Creditors' Rights &  
Bankruptcy

Employee Benefits &

- Lending
- Litigation and dispute resolution
- Merger and branch acquisition
- New bank organization
- Real estate matters
- Regulatory compliance
- Secondary market activities/residential mortgages
- Strategic planning
- Tax-advantaged financing
- Taxation
- Troubled institutions and 363 sales

Executive Compensation

Insurance Coverage

Labor, Employment &  
Immigration

Real Estate

Securities & Finance

Securities Litigation &  
Enforcement

Tax