

# Prosecuted \$1.6 Million Broker Liability Fiduciary Duty Breach

Amundsen Davis prosecuted a \$1.6 million broker liability (breach of fiduciary duty claim) for retirees who entrusted retirement savings to a financial advisor who had been a family friend who invested contrary to representations to client and SEC.

## PROFESSIONALS

Daniel S. Peters  
Partner

Christopher O. Miller  
Partner

## RELATED SERVICES

Money Management/  
Investment Adviser

Shareholder Activism